

### Weapons survey basic information requirements

A weapons survey shall be carried out to support operational planning. It should be carried out as early as possible by independent and impartial staff. It should be carried out through questionnaires and interviews involving all stakeholders, including both public officials and private citizens (male and female). The analysis of all existing reliable data from military sources, as well as consultations with international and regional organizations, relevant focus groups, research organizations and NGOs, should form the basis of the weapons survey.

An information collection plan should be determined, and may be structured in a format similar to the following matrix:

| Serial                               | Critical information requirement  | Open sources |          |                   | Human sources     |                            |             |          | Liaison sources |                      |                        |                          | Technical sources <sup>i</sup> |                  |                     |                      |
|--------------------------------------|---|--------------|----------|-------------------|-------------------|----------------------------|-------------|----------|-----------------|----------------------|------------------------|--------------------------|--------------------------------|------------------|---------------------|----------------------|
|                                      |   | Media        | Internet | UN and IO reports | Civil authorities | Civilian/Household surveys | Journalists | Refugees | Community       | Liaison/focus groups | Embassies and attachés | Deployed military forces | International observers        | Warring factions | IMINT <sup>ii</sup> | SIGIN <sup>iii</sup> |
| Weapons possession and proliferation |   |              |          |                   |                   |                            |             |          |                 |                      |                        |                          |                                |                  |                     |                      |
| 1.                                   | How many weapons are to be collected/surrendered?                                       |              |          | X                 | X                 |                            |             |          |                 |                      | X                      | X                        | X                              |                  | X                   |                      |
| 2.                                   | Who is expected to hand in weapons?   |              |          | X                 | X                 | X                          |             |          | X               | X                    | X                      | X                        | X                              |                  | X                   |                      |
| 3.                                   | Who else controls weapons outside the warring factions?<br>Do they need to be disarmed? | X            | X        | X                 | X                 |                            | X           | X        | X               | X                    | X                      | X                        |                                |                  | X                   |                      |
| 4.                                   | Are there any pockets of heavily armed resistance?                                      | X            |          | X                 | X                 |                            | X           | X        |                 | X                    | X                      | X                        | X                              | X                | X                   |                      |
| 5.                                   | Do the warring factions have stockpiles, and where are they?                            | X            |          | X                 | X                 |                            | X           | X        |                 | X                    | X                      | X                        | X                              | X                | X                   | X                    |
| 6.                                   | Where are the warring factions?   | X            |          | X                 | X                 |                            | X           | X        |                 | X                    | X                      | X                        | X                              | X                | X                   | X                    |
| 7.                                   | What are the SALW supply routes and mechanisms?   |              |          | X                 | X                 |                            |             | X        |                 | X                    | X                      | X                        | X                              |                  | X                   |                      |
| 8.                                   | Is there a previous national inventory or register of SALW?                             |              | X        |                   | X                 | X                          |             |          | X               | X                    |                        |                          |                                |                  |                     |                      |
| 9.                                   | Is there any legal provision for SALW ownership?  |              | X        |                   | X                 | X                          |             |          | X               | X                    |                        |                          |                                |                  |                     |                      |
| 10.                                  | Is there local capacity to produce weapons, ammunition and explosives?                  | X            | X        | X                 | X                 |                            | X           |          | X               | X                    | X                      | X                        | X                              |                  |                     |                      |

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|-----------------|---|--------------|----------|-------------------|-------------------|----------------------------|-------------|----------|--------------------------------|------------------------|--------------------------|-------------------------|--------------------------------|---------------------|-----------------------|---------------------|
|                 |   | Media        | Internet | UN and IO reports | Civil authorities | Civilian/Household surveys | Journalists | Refugees | Community liaison/focus groups | Embassies and attachés | Deployed military forces | International observers | Warring factions               | IMINT <sup>ii</sup> | SIGINT <sup>iii</sup> | ELINT <sup>iv</sup> |
| Weapons impacts |   |              |          |                   |                   |                            |             |          |                                |                        |                          |                         |                                |                     |                       |                     |
| 11.             | What is the prevailing cultural attitude towards weapons?                                       | X            | X        |                   | X                 | X                          | X           | X        | X                              | X                      |                          | X                       |                                |                     |                       |                     |
| 12.             | How does the presence of SALW affect interpersonal relations?                                   | X            | X        |                   | X                 | X                          | X           | X        | X                              |                        |                          |                         |                                |                     |                       |                     |
| 13.             | What role do small arms play in the region/culture?   | X            | X        |                   | X                 | X                          | X           | X        | X                              | X                      |                          | X                       |                                |                     |                       |                     |
| 14.             | How does the presence of small arms affect social or interpersonal relations?                   | X            | X        |                   |                   | X                          |             | X        | X                              |                        |                          | X                       |                                |                     |                       |                     |
| 15.             | Is there any record of casualties/deaths provoked by small arms (disaggregated by age and sex)? | X            | X        | X                 | X                 |                            |             |          | X                              |                        | X                        |                         |                                |                     |                       |                     |
| 16.             | Is there any record of criminal activities/murders involving small arms?                        | X            | X        | X                 | X                 |                            |             |          | X                              |                        | X                        |                         |                                |                     |                       |                     |
| 17.             | Is there a 'culture' of weapons?  | X            | X        |                   | X                 | X                          | X           | X        | X                              | X                      |                          | X                       |                                |                     |                       |                     |
| 18.             | What are the local concepts of 'human security'?  | X            | X        |                   | X                 | X                          | X           | X        | X                              |                        |                          | X                       |                                |                     |                       |                     |

<sup>i</sup> Dependent on the capability of deployed military or UN force and their willingness to share information.

<sup>ii</sup> Imagery intelligence.

<sup>iii</sup> Signal intelligence.

<sup>iv</sup> Electronic intelligence.